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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	09/696,752
Filing Date	Oct 24, 2000
Confirmation No	3013
Inventorship	Hunt
Applicant	Microsoft Corporation
Group Art Unit	2155
Examiner	Nguyen, Thu Ha T
Attorney's Docket No	MS1-663HS//ED
Attorney's Docket No. Title: System and Method Providing Automatic Policy E	inforcement in a Nitural EIVED
Computer Service Application	MAY 1 7 2004

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 CFR 1.97(e)

Technology Center 2100

The citations listed, copies attached, and are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, no item of information contained in the accompanying PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56 (c) more than 3 months prior to the filing of this statement.

Respectfully Submitted,

Date: 5/11/04

Brian G. Hart Reg. No. 44,421

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number	09/696,752		
Filing Date	Oct 24, 2000		
First Named Inventor	Hunt		
Group Art Unit	2155		
Examiner Name	NGUYEN, THU HA T		
Attorney Docket Number	MS1-663US	J	

				U.S. PATENT DOC	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				FOR	EIGN PATENT DOCUMEN	rs		
Examiner Initials	Cite No.1	Office	Foreign Patent Doo Number ⁴		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	16
								
			EP 1 063 815 A2		Sanjay	12-27-2000		
			EP 1 063 815 A3		Sanjay	06-16-2000		\sqcup
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known			
Application Number	09/696,752		
Filing Date	Oct 24, 2000		
First Named Inventor	Hunt		
Group Art Unit	2155		
Examiner Name	NGUYEN, THU HA T		
Attorney Docket Number	MS1-663US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/696,752 **TRANSMITTAL** Oct 24, 2000 Filing Date **FORM** First Named Inventor Hunt Technology Center 21(10) Group Art Unit 2155 (to be used for all correspondence after initial filing) NGUYEN, THU HA T **Examiner Name** Attorney Docket Number MS1-663US Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Sheets Fee Allached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Repty Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Form 1449 & Copies of 17 Cited References **Express Abandonment Request** Return Receipt Postcard Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Customer Number 22801 Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brian G. Hart: 44,421 Individual name Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: May 11, 2004

Typed or printed name

Hope A. Charles

Signature

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Charles

Date 5/11/2004

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